Overcoming History? (Preliminary work that (for now) draws heavily on 'Erasing Ethnicity?')

Arthur Blouin Sharun Mukand
University of Toronto University of Warwick

August 31, 2017 SITE Development Workshop

Questions

- Can governments shift deeply held and divisive ethnic preferences of citizens?
- Crucial policy question: Ethnically diverse countries have more conflict; higher corruption; weaker institutions; lower economic growth; etc.
- 'Nation building' that aligns preferences and increases trust & co-operation across ethnicities, or, (if possible) reduces ethnic salience & identity, may be key for econ. development
- However very few countries have explicitly engaged in this type of social engineering program.
 - Rwanda is an exception

Can we learn anything about persistence?

- There is now a large literature on long-run persistence of historical events
- Common reaction: 'history is destiny' is an unsatisfying answer
- However, it is unclear how contemporary policy interacts with these historical events
 - Is history persistent because policy can't overcome it?
 - Is history persistent because policy often doesn't overcome it?

Context: Reconciliation of Hutu and Tutsi in Rwanda

This project evaluates one dimension of a massive social engineering program in Rwanda

- 1994 ethnic genocide in Rwanda: Hutu extremists killed as many as 70% of Rwandan Tutsi
- Today: a de facto autocracy where the government controls media, forbids even mentioning ethnicity in public, let alone collecting data on it
- Several nation building campaigns to 'erase ethnicity' - centrepiece of strategy is mass-propaganda
- We focus our attention on official radio propaganda



Background of Reconciliation in Rwanda

- NYT 2017: "Laws banning so-called genocidal ideology...are used to squelch even legitimate criticism of the government. Against this backdrop, it is difficult to gauge sentiment about the effectiveness of reconciliation efforts"
- Reyntjens 2016: Reconciliation program is 2-pronged - "on the one hand, educating people and disseminating information; on the other...repressing acts and discourses opposed to unity"



Controversy Over Reconciliation in Rwanda

Focus on propaganda / re-education:

- Vansina 2005: "a whole set of false propositions and assertions" that are not commonly believed by the populace, but instead adopted as "rehearsed consensus"
- Thomson, 2011: "alienating, oppressive and sometimes humiliating", generating "ritualised dissimulation and strategic compliance"



Introduction

The 'Erasing Ethnicity' Paper

We evaluate the use of propaganda for the purpose of reconciliation / nation building:

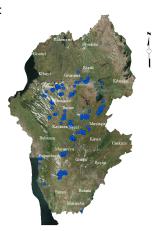
- Data from 52 villages in Rwanda using survey and experimental methods to measure inter-ethnic trust and attitudes
- We exploit the mountainous topography to compare people from villages that receive government propaganda over Radio Rwanda to those that don't
 - similar strategy to Yanigazawa-Drott, 2014
- We find improved ethnic attitudes in radio villages.
 - Ethnicity is less salient
 - People are more willing to interact with out-group
 - People respond in survey questions that they're more trusting
 - People behave more trusting in the trust game (in private)



Data

Data was collected in Rwanda and Burundi:

- 1. 4 different lab/survey measures of ethnic salience/attitudes
- 2. Field survey
- 3. GIS data on radio towers, topography, village locations, geographic controls.



Survey Locations

Data: Collecting ethnicity in Rwanda

First piece of data we need is ethnicity, a big challenge in Rwanda:

- Proxy for ethnicity using eligibility for FARG a genocide reparations fund for genocide survivors. Politically:
 - Hutu victims are officially: "victims of massacres that occurred during the genocide against the Tutsi"
 - Tutsi are officially: "Survivors of the genocide against the Tutsi"
- The question was placed within a long module about income, and further nested within a section about government support
- To be eligible for FARG Rwandans need to (a) be from a genocide village and (b) be a "survivor" (i.e. Tutsi)
 - we only survey genocide villages didn't want people to be ineligible because of (a)
 - all respondents were aware of the fund.



Also need respondents to be able to infer ethnicity

- We need the experimental data to overcome the ethnicity issue
 - Only works if resp. can tell who's Tutsi/Hutu
- Genetic studies: Tutsi are Afro-Asiatic and Hutu are Bantu
 - Even if socio-political construct (RW gov't teaches this): physical differences due to assortative matching
 - Belgians classified based on nose size, eye shape, skin colour, height, etc. (Welsh, 2012)



Tutsi Cartoon



Hutu Cartoon

Outcome 1: Salience of Identity Test

We want to measure whether people are using Hutu/Tutsi as a marker when they process information

- We use scores on a simple association / recall task
- We show pictures of Hutu/Tutsi with an associated statement
- We then read back a statement and ask respondents to remember which picture it was linked to.
- We look at how frequently people make within-ethnicity errors (i.e. mistake a Hutu for another Hutu or a Tutsi for another Tutsi)



01

Statement:

The person pictured on the other side of this card owns a blue bicycle and 2 red motorbikes

roduction Data Empirical Strategy Results Conclusion

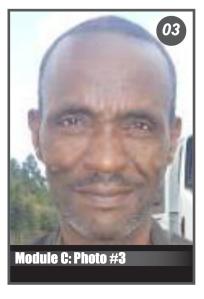
Salience of Identity Test: Example



02

Statement:

The person pictured on the other side of this card's favorite fruit is bananas, their least favorite is guava



03

Statement:

The person pictured on the other side of this card has 4 children: 2 boys and 2 girls



04

Statement:

The person pictured on the other side of this card has 2 brothers









Recall Task:

- Which person has four children?
 - If I know it was one of the Tutsi, but not which one
 - Then it would suggest that I use ethnicity to categorize.
 - Formally: $SIT = \frac{\sum WithinMarkerErrors}{\sum Errors}$

Outcome 2: Partner selection task

We ask participants to select 5 individuals they would like to be partnered with for a co-operative task

- Individual can select the ID numbers of anyone at their lab session that is not from their village.
- Incentivized by allowing a selection of them to be partnered with one of their choices for a lab exercise (not one of the ones we are interested in here)
- We look at the share of selections from the other ethnic group:

Partner Preference =
$$\frac{\sum \text{choices from other ethnic group}}{\min\{5, \text{total other ethnic group}\}}$$

Outcome 3: Trust survey question

One of our primary interests is the government ability to develop inter-ethnic trust:

- Difficult to measure: can't mention ethnicity.
- One of our attempts: ask about trust of people in their village but from the "other community"
- Big caveat: we have to leave the interpretation of other community up to them and do not observe how they perceive the question

Outcome 3: Trust survey question

How much do you trust the following:

People from other communities in your village?

- i. Not at all
- ii. Just a little
- iii. Somewhat
- iv. A lot

People from your own community in your village?

- i. Not at all
- ii. Just a little
- iii. Somewhat
- iv. A lot

Outcome 4: the trust game

The game is a standard way to elicit trust/tensions across communities (Fershtman and Gneezy (2001))

- How is the trust game played?
 - Two strangers from different villages play one shot game
 - Player 1 receives a days wage (600 RWF pprox \$1.50 CAD)
 - Player 1 may share a fraction of that money into a pot
 - Pot is multiplied by enumerator and collected by Player 2
 - Player 2 can choose to keep all the money in the pot or share with Player 1
- Public vs. Private information (randomized)
 - Some people play a version where offers are confidential
 - Others play a version where offers and returns are written on a poster board on the wall of the hall
 - Helps to distinguish between results driven by strategic behavior

Since SIT is new: Is it capturing what we think?

Table: Conditional correlation between % of within-ethnicity SIT errors and trust game offers, by type of game

	inter-ethnic	co-ethnic	inter-ethnic	co-ethnic	log(inter-ethnic)	log(co-ethnic)	
	(1)	(2)	(3)	(4)	(5)	(6)	
		Panel A - [Dependent Var	iable: Priva	e Trust Game Offers		
SIT	-128.1*** (28.92)	8.502 (29.51)	-133.3*** (28.98)	13.79 (28.98)	-0.478*** (0.0910)	-0.0244 (0.0747)	
Equality of Coefficients (p-value)	0.0008		0.0002		0.0001		
Controls	baseline + village FE		$Full + village \; FE$		Full + vill	age FE	
Control Group Mean of Dependent Variable	329	342	329	342	6.39	6.45	
Observations	92	150	92	150	92	150	
R-squared	0.327	0.249	0.454	0.335	0.432	0.341	

Robust standard errors in parentheses

^{***} p<0.01, ** p<0.05, * p<0.1

Empirical Strategy: Radio Rwanda

- Radio Rwanda: national radio; main source of news/infotainment/propaganda; markets itself as Radio of 'New Rwanda'.
 - Strict gov't control on radio.
 - Reporters without Borders: threat of suspension of radio licenses is real
 - World Press Freedom Index: Rwanda ranks 161/179
- Rwanda is "land of 1000 hills" so radio reception varies, even within small regions, depending on which side of a hill a village is on. (Yanigazawa-Drott, 2014)
- Look at variation in Radio Rwanda reception within districts to see if propaganda has changed inter-ethnic attitudes

Measuring Radio Signal

The radio signal measure is based on engineering models that calculate theoretical signal strength based on:

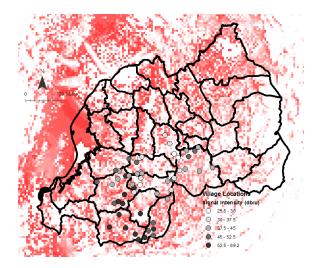
 Location of radio towers relative to each village; topography of Rwanda; height of tower; power of signal from tower

We load the data into the software and it provides us with a signal strength in $d\mathbf{b}/\mu$

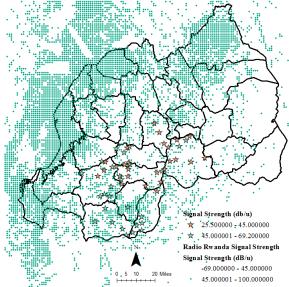
- Continuous variable may not be sensible
 - e.g. Can't hear any better/worse between 10-20 db/μ or between 70-80 db/μ
- Our main estimates use a threshold of 45 db/μ based on:
 - FCC October 2007 which states that radio reception is guaranteed in the 40-45 db/μ range
 - several other references note that 45 is appropriate



Radio Signal: Overlay Respondent Locations



Matching Radio Signal: All signals greater than 45 db/ μ



Follow-up Survey on Radio Habits

- Series of questions about radio listening habits and radio signal conducted by phone in February 2017
- In our sample of 438 we have 304 that had a phone and we were able to reach 154 of them
 - We think: liberalization of phone industry means many people changed phone numbers
 - missing are NOT fake numbers: we reached 267 when we back-checked survey answers by phone in 2013
- Big caveat: highly selected sample. Higher income than other sources, and far greater radio ownership.
 - However, compared to representative survey, Research ICT Africa, our sample listens to 4.16 hrs of radio / day compared to 4.37 hrs in their data.
- Supplement with radio ownership data from geocoded 2014 DHS.

Table: Is there a valid experiment? First Stage & Balancing

Dependent variable	(1) Mean	(2) N	(3) Radio Estimate	(4) Standard Error	(5) Public Estimate	(6) Standard Error	(7) Controls		
			Panel A	Constructed Rad	lio Signal and Rep	orted Radio Signa	I and Habits		
Radio Ownership (DHS data)	0.585	54.892	0.04***	(0.011)			District FE		
Signal Quality of RR (follow-up data)	5.8	154	1.39***	(0.29)	-0.05	(0.16)	Baseline		
Regularly Listen to RR (follow-up data)	0.43	154	0.431***	(0.125)	0.02	(0.091)	Baseline		
Regularly Listen to Other Stations (follow-u	p data) 0.05	154	-0.181***	(0.060)	-0.035	(0.035)	Baseline		
			Panel B: Baseline Controls						
Gender (female = 1)	40%	438	-0.042	(0.061)	-0.039	(0.047)	distances & District FE		
Age	43.3	438	-1.657	(1.841)	-1.232	(1.159)	distances & District FE		
Tutsi	0.28	438	-0.120	(0.072)	-0.045	(0.035)	distances & District FE		
Distance: road	0.1	438	-0.011	(0.011)	0.001	(0.003)	distances (excl. road) & District FE		
Distance: Kigali	60	438	5.08**	(2.18)	-0.145	(0.533)	distances (excl. Kigali) & District FE		
Distance: nearest city	28.7	438	-0.266	(1.057)	-0.027	(0.318)	distances (excl. city) & District FE		
Light Density at Night	0.54	438	-0.349	(0.385)	-0.069	(0.048)	distances & District FE		
RTLM	0.19	438	0.407***	(0.106)	0.055**	(0.023)	distances & District FE		
Raven Score	5.39	438	0.218	(0.169)	-0.0063	(0.139)	distances & District FE		
Cell phones	69.5%	438	0.023	(0.063)	-0.010	(0.013)	distances & District FE		
				Pan	el C: Additional (Controls			
log(income)	5.37	438	-0.140	(0.152)	0.075	(0.099)	distances & District FE		
Genocide	0.518	438	0.11	(0.18)	-0.007	(0.024)	distances & District FE		
Elevation	1.646	438	-39.99	(31.29)	1.09	(7.35)	distances & District FE		
Elevation Variance	26.187	438	2.922	(6.392)	-1,151	(4,645)	distances & District FE		
Primary School	63%	438	0.021	(0.066)	0.051	(0.046)	distances & District FE		
High School	2.1%	438	0.025	(0.027)	0.019	(0.013)	distances & District FE		
College	0.2%	438	-0.002	(0.003)	-0.003	(0.003)	distances & District FE		
Facing North	0.247	438	-0.039	(0.185)	0.106***	(0.034)	distances & District FE		
Facing South	0.251	438	0.045	(0.156)	-0.059*	(0.063)	distances & District FE		
Facing East	0.315	438	0.233	(0.153)	-0.033	(0.030)	distances & District FE		
Facing West	0.187	438	-0.239	(0.149)	-0.014	(0.023)	distances & District FE		
log(sector population)	10.1	438	0.036	(0.109)	-0.001	(0.019)	distances & District FE		
Sector Pop. Dens.	6.17	438	0.937	(0.572)	-0.026	(0.033)	distances & District FE		
				Panel D	: Other Variables	of Interest			
log(Land Value)	8.36	438	0.087	(0.241)	-0.11	(0.186)	distances & District FE		
Other Stations	38.9%	438	0.172**	(0.075)	0.017	(0.014)	distances & District FE		
Forced Labour	0.86	438	-0.437	(0.462)	-0.115	(0.157)	distances & District FE		
Migration (in lifetime)	22%	438	-0.038	(0.054)	-0.022	(0.0334)	distances & District FE		
Migration (years in current home)	39.2	438	-1.088	(2.506)	-1.305	(1.344)	distances & District FE		
Migration (since 2004)	3.7%	438	0.057	(0.038)	0.019	(0.019)	distances & District FE		

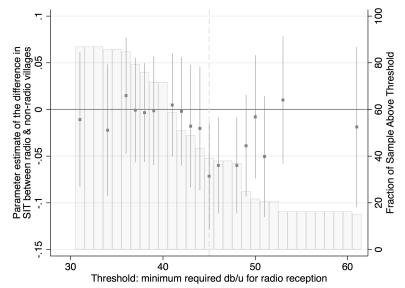
→ < ½ > < ½ > < ½ </p>
→ < ½ >
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→
→

Main Specification

$$\phi_{ivd} = \alpha_{\mathbf{d}} + \beta_1 RadioRwanda_{vd} + \mathbf{\Gamma}' \mathbf{X}_{ivd} + \epsilon_{ivd}$$
 (1)

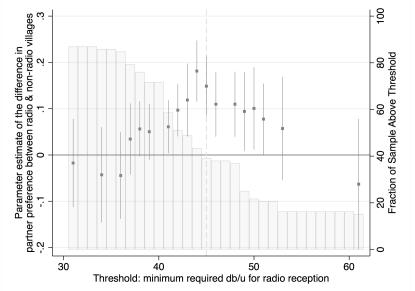
- i is an individual; v is a colline (village); d is a district
- α_d are village fixed-effects
- $RadioRwanda_{vd}$ is a binary variable equal to 1 if the village gets a signal above our $45 {\rm db}/\mu$ threshold
 - But I'll show you figures plotting estimates from a range of different thresholds
- ϕ_{ivd} is one of our 4 outcomes

SIT Score Estimate by Radio Signal Threshold





Group Preference Estimate by Radio Signal Threshold



Trust Survey Estimate by Radio Signal Threshold

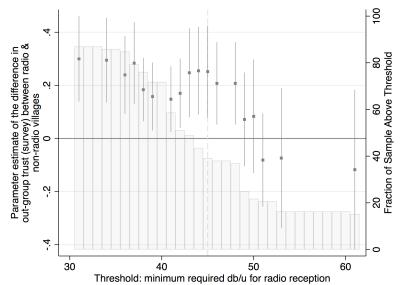


Table: Effect of living in a village that receives a Radio Rwanda signal on answers to survey questions about in-group and out-group trust

	(1)	(2)	(3)	(4)		
Dependent Variable:	Out-group trust	In-group trust	Out-group trust	In-group trust		
Radio Rwanda Reception	0.215** (0.0899)	0.0241 (0.148)	0.279*** (0.0869)	0.0500 (0.158)		
Equality of Coefficients (p-value)	0.1	061	0.046	0.0467		
Controls	base	eline	full			
Control Group Mean of Dependent Variable	2.91	3.17	2.91	3.17		
Observations	438	438	438	438		
R-squared	0.161	0.184	0.133	0.151		

Robust standard errors in parentheses

^{***} p<0.01, ** p<0.05, * p<0.1

Private Trust Game Estimate by Radio Signal Threshold

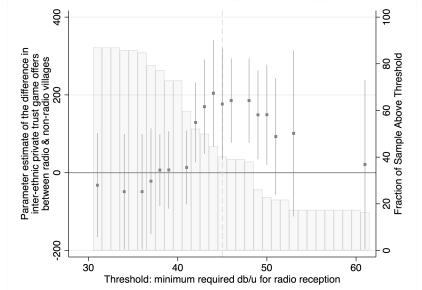




Table: Effect of living in a village that receives a Radio Rwanda signal on trust game offers, by type of game

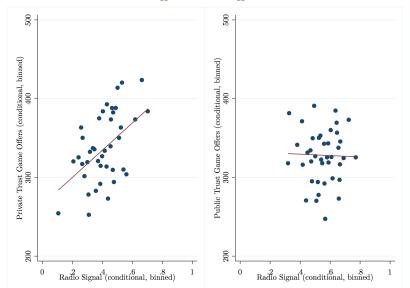
Partnership Type:	inter-ethnic	co-ethnic	inter-ethnic	co-ethnic	log(inter-ethnic)	log(co-ethnic)
	(1)	(2)	(3)	(4)	(5)	(6)
			Panel A: Priva	ite Trust Ga	me Offers	
Radio Rwanda Reception	117.0** (48.86)	31.16 (28.78)	157.3*** (34.30)	-23.06 (36.29)	0.421*** (0.134)	0.0114 (0.104)
Equality of Coefficients (p-value)	0.093		0.0002		0.00	9
Controls	baseline		full		full	
Control Group Mean of Dependent Variable	330	342	330	342	6.39	6.45
Observations	92	150	92	150	92	150
R-squared	0.521	0.426	0.705	0.513	0.519	0.541

Robust standard errors in parentheses *** p<0.01, ** p<0.05, * p<0.1

Public Information

- We look at trust games outcomes in the public/private treatment.
- Is behavioural change driven by "ritualised dissimulation and strategic compliance"?
 - SIT is likely an attitude shift, but the other three outcomes could be either
 - We examine differences between the public and private Trust Game

Sensitivity of inter-ethnic offers to public information by signal strength



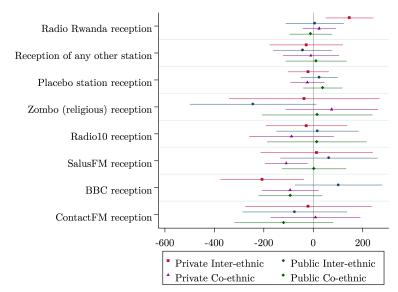
Results

Dependent Variable:	Trust Game Offers								
Partner type:		Inter-ethnic				Co-ethnic			
Sample: RR Reception	yes	no	yes	no	yes	no	yes	no	
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
Public	-1.215 (15.09)	40.81* (23.87)	-6.214 (13.71)	51.65** (25.98)	-15.25 (19.72)	19.40 (23.42)	-18.91 (21.96)	0.378 (23.20)	
Equality of Coefficients (p-value)	0.137		0.048		0.258			0.546	
Controls	bas	seline	ti	ıll	base	eline	fu	11	
Control Group Mean of Dependent Variable	329	335	329	335	312	348	312	348	
Observations	76	87	76	87	127	148	127	148	
R-squared	0.717	0.628	0.802	0.751	0.398	0.383	0.428	0.521	

Robust standard errors in parentheses

*** p<0.01, ** p<0.05, * p<0.1

Trust Game Estimate Relative to Other Stations

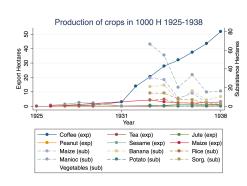




How Does Nation-Building Propaganda Interact with Colonial History?

Consider Forced Labour in Rwanda (Blouin, 2016). Belgium began colonizing Rwanda and Burundi after WWI:

- Coffee was pushed to increase exports & taxes
- 1931: quotas introduced
 - Chiefs earned the profits from trees which was taxed by Belgians
- Large variation in coffee suitability, so quotas were binding for many

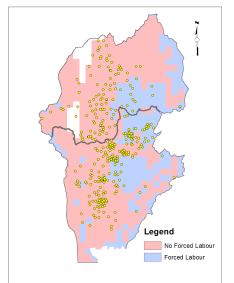


The Introduction of Colonial Forced Labour

Belgium introduced forced labour as 'compensation' to chiefs in regions where the quotas were costly:

- Forced labour was only to be used to meet the quotas
 - 'Banned' in regions where coffee was a primary crop
 - Most used in regions where quotas were most costly (i.e. coffee was least profitable)
- Exogenous forced labour variation: Where were quotas binding? (i.e. where was/wasn't coffee a 'primary crop' even without quotas?)
 - We collect data on crop suitability and colonial era prices to map out (using exogenous criteria) the likely forced labour and no-forced labour villages.

Assignment of forced labour and colonial era family villages



Forced Labour did Have a Persistent Effect On Ethnic Attitudes in Rwanda

Dependent Variable	SIT	Partner Preference	Trust Survey	Trust Game
	(1)	(2)	(3)	(4)
Forced Labour	0.00166*	-0.00517***	-0.00397	-96.34***
	(0.000926)	(0.000856)	(0.00304)	(24.99)
Controls	baseline	baseline	baseline	baseline
Observations	438	438	438	92
R-squared	0.140	0.393	0.152	0.408

Heterogeneity by Forced Labour Status

Dependent Variable:		SIT	Partner Preference		Out-Group		Inter-Ethnic Trust		
						Trust (survey)		Game Offer	
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
	Corvée	No Corvée	Corvée	No Corvée	Corvée	No Corvée	Corvée	No Corvée	
Radio Rwanda Signal	-0.147** (0.0718)	-0.105 (0.0938)	0.172*** (0.0362)	-0.0103 (0.0796)	0.367** (0.162)	-0.0549 (0.180)	136.9 (83.70)	•	
Controls	Baseline	Baseline	Baseline	Baseline	Baseline	Baseline	Baseline	Baseline	
Observations	301	137	301	137	301	137	60	32	
R^2	0.178	0.270	0.415	0.542	0.148	0.355	0.533	0.935	
Control Group Mean of Dependent Va	ariable 0.912	0.909	0.412	0.463	2.92	2.91	294	382	

Conclusions

- Evidence to suggest that even in the short/medium run and under challenging conditions, nation building can work to 'bring groups together'
- Attitudes may be most malleable in regions with ethnic divisions shaped by history
- Evidence to suggest that ethnic salience & identity is (at least in part) a political construct.